

# N U P I C

NUCLEAR PROCUREMENT ISSUES COMMITTEE

Issue: December 2014

## Chair Message

By: Stan Mitchell, Exelon

*“...look back on our accomplishments in 2014 and look ahead to 2015 ”*

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## Chair Message

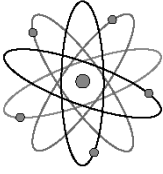
As this year comes to a close I wanted to look back on our accomplishments in 2014 and look ahead to 2015. During 2014, we made several changes to our processes. The NUPIC membership approved a learning opportunity review process at the June Meeting. NUPIC has already started identifying learning opportunities revealed by issues identified in NRC inspection reports. As we move forward the learning opportunity review committee will also be looking at learning opportunities identified by NUPIC audit teams.

The membership approved a change to the Charter in 2014. The purpose of the charter change was to adjust member audit commitments to account for the loss of several NUPIC memberships over the last couple of years. These losses resulted from the transfer of operating licenses from Constellation to Exelon, the shutdown of the SONGS reactors and the decision by Hydro-Quebec to withdraw from NUPIC. One new member was added when NUPIC approved the membership of Emirates Nuclear Energy Corporation (ENEC).

NUPIC continues to evaluate our processes to look for areas of improvement. One thing you are already seeing is increased management oversight of NUPIC audits as recommended by the 2013 self-assessment. Utilities can fulfill one of their NUPIC expectations of management involvement through a documented management observation of a NUPIC audit. Recommendations from these observations will be used to enhance NUPIC processes. In addition, during 2014 the process committee completed a checklist reformat process. NUPIC will be piloting this new checklist in 2015 with the goal of increasing the amount of time NUPIC auditors spend in the plant witnessing activities.

It will come as no surprise that NUPIC is looking at the impacts of pending retirements. The steering committee has reviewed standing committee staffing to ensure continuity in these committees. In addition, the Nuclear Quality Management Leadership (NQML) has requested that NUPIC consider approving the limited use of contractors to lead NUPIC audits. A working group has been formed to draft a change to the NUPIC Charter for consideration at the February 2015 NUPIC Meeting.

The next meeting will be hosted by NextEra Energy in Jupiter Beach, FL and is scheduled for February 11-12, 2015. The annual auditor training conference will be held February 9-10, 2015. I look forward to seeing you in Florida.



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## 2015 NUPIC AUDITOR'S CONFERENCE & SPRING MEETING

By: John Simmons, Luminant Power

The 2015 NUPIC Auditor's Conference will be held on February 9 - 10, 2015 in Jupiter, FL.

The NUPIC Auditor's conference will include training on the recently issued EPRI Technical Report 3002002982 "Guideline for the Acceptance of Commercial-Grade Items in Nuclear Safety Related Applications, Revision 1 to EPRI NP-5652 and TR-102260." This revision provides updated guidance that incorporates over 25 years of experience and learning, addresses areas of known difficulty, and preserves the knowledge gained by the nuclear industry in the area of commercial grade item dedication.

The revision does not provide changes in the process for performing commercial grade item dedication but provides updated guidance based on the experience and lessons learned from implementing the original EPRI commercial grade item dedication document – NP-5652. The updated guidance also provides additional explanation or focus in areas of the commercial grade item dedication process where inconsistent or inadequate implementation has been identified by utility audits and / or NRC inspections, such as weaknesses in documented technical justifications. Examples of topics to be discussed during the training include:

- Two ways for commercial items to become basic components – Is this possible?
- Failure Mode and Effects Analysis – What is it and when is it required?
- Utilization of Unqualified Source Material in accordance with ASME NCA-3855.5 vs. Dedication – Are they equivalent?
- Safety Function – Is it always required for dedication?
- Documented Technical Justifications – When are they required? (Hint – Always)
- Calibration Accreditations – How to implement the NRC Safety Evaluation report.

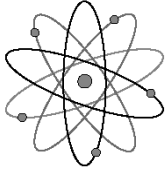
This updated guidance will impact how auditors currently evaluate supplier's processes for providing basic components including the use of commercial grade item dedication. This training will be presented using the EPRI presentation material from the November 5 – 6, 2014 roll-out training of this guideline at the EPRI Center in Charlotte, NC. This training during the auditors conference is considered a must for both new and experienced NUPIC auditors. Please make your plans to attend today.

NextEra Energy will host the NUPIC Members Only 2015 February NUPIC Meeting and Auditor Conference on February 9-12, 2015 in Jupiter, Florida at the Jupiter Beach Resort.

Steering Committee Meeting – Sunday, February 8, 2015

Auditor Conference – Monday and Tuesday, February 9-10, 2015

General Membership Meeting – Wednesday and Thursday, February 11-12, 2015



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NUCLEAR PROCUREMENT ISSUES COMMITTEE

**2015 NUPIC Auditor's Conference and Spring Meeting  
February 9 - 10, 2015  
Jupiter, FL**



This meeting is for NUPIC Member Utilities Only and invited guests / speakers

Hotel

Jupiter Beach Resort & Spa  
5 North A1A  
Jupiter, FL 33477-5190  
Toll-Free: (866) 943-0950  
[www.jupiterbeachresort.com](http://www.jupiterbeachresort.com)

DEADLINE FOR RESERVATIONS: January 9, 2015

Resort Reservations: 1-800-228-8810 Group: 'NUPIC-Auditor Training and Membership Meeting'  
Or Website: [www.jupiterbeachresort.com](http://www.jupiterbeachresort.com)

Hotel rate: \$185.00 plus 11% State Sales Tax (Group Code NUPICA0208)  
The Jupiter Beach Resort & Spa agrees to extend this special rate to attendees three days prior to and three days after the official event dates.

Transportation

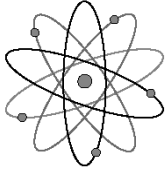
The Resort is located just 27 miles north of Palm Beach International Airport (PBI) and 88 miles north of the Fort Lauderdale International Airport (FLL). A rental car is highly recommended because most restaurants are not within walking distance.

Registration for Meeting

The NUPIC reps will be responsible for providing the names and titles of all attendees for their utility to Lydia Heatwole, 561-694-4872, [Lydia.Heatwole@fpl.com](mailto:Lydia.Heatwole@fpl.com). Please provide a list for the Auditor Conference and the General Membership Meeting. An accurate count is required to order the publication of the EPRI handouts for the auditor's conference.

If you have any questions call or email

Lydia Heatwole, 561-694-4872, [Lydia.Heatwole@fpl.com](mailto:Lydia.Heatwole@fpl.com) or Jose Magalhaes, 561-691-7571, [Jose.Magalhaes@fpl.com](mailto:Jose.Magalhaes@fpl.com)



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## NUCLEAR PROCUREMENT ISSUES COMMITTEE

*By: John Larson, Nebraska Public Power*

### NUPIC CORNERSTONES

Sometimes people ask me "how can you justify using a NUPIC Audit of a supplier led by another utility?" The answer is that certain cornerstones were built into the NUPIC process to assure that NUPIC Audits would always meet a standard of excellence that could be depended upon regardless of which NUPIC Member Utility was responsible for the audit. Two of these cornerstones are:

1. NUPIC Audit Team Leaders will always be direct utility employees, certified under an NRC-approved QA Program maintained by the licensee; and,
2. NUPIC Audits will be performance-based and comply with the Comprehensive Procurement Initiative (NUMARC 90-13)

Committing that NUPIC ATs will be direct utility employees (Cornerstone 1) supports the NRC's acceptance of the NUPIC process based on principles such as those described in EPRI TR-102544 "Inter-Utility Transfers". Perhaps even more important, Cornerstone 2 builds on that by assuring that the lessons learned from GL89-02 and GL91-05 will not be lost. That NUPIC Audits will be performance-based and be performed by individuals with direct plant technical knowledge. Failure to meet this standard in the 1980's was the reason utility executives directed the creation of NUPIC through endorsement of the Comprehensive Procurement Initiative. There simply is no substitute for assuring that NUPIC Audit Teams will be led by Team Leaders with a personal knowledge of the nuclear power plants they represent.

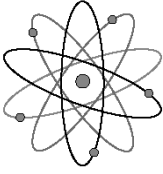
### LEADING NUPIC AUDITS: MEMBER ACCOUNTABILITY

What makes NUPIC different from any other audit organization is that we, the members, own it and are directly accountable to each other for audit performance. Audit Team Leaders come from the member's organizations and there are no third parties, no contracted agencies, and no consultants looking for new business. In NUPIC, everyone "gets the memo" and when performance improvements are needed it happens quickly, usually at the next membership working meeting.

Consultants are allowed to fill the role of Audit Team Member and Technical Specialist, accounting for 80% or more of the organizations resource requirements, but the founding fathers of NUPIC saw the wisdom of reserving the role of Audit Team Leader as belonging solely to direct utility member personnel. This helped to assure that the supplier auditing function would remain closely tied to plant needs and expectations. With the upgrades to performance-based auditing driven by our commitments to the Comprehensive Procurement Initiative (NUMARC 90-13) it was no longer possible to rely on past programmatic results. A new way of auditing was required to reduce our vulnerability to counterfeit, fraudulent, and substandard items. That vulnerability still exists.

The success of the NUPIC Process over the past 25 years is undeniable. At a fraction of the cost, much improved performance-based audits have met with regulator and member acceptance in resolving the problems identified in Generic Letter 89-02 and 91-05. Also important are the relationships developed between NUPIC Members and the sharing of confidential information for the benefit of the industry. As licensees we all comply with the same regulations and have the opportunity in NUPIC to partner with our regulators to drive continuous improvement.

Ownership and accountability to each other when we lead NUPIC Audits drives our success. Many things can change, but if we can protect these critical characteristics of NUPIC, we can continue to provide value-added service to our plants and help assure their success well into the future.



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## NUPIC TRAINING COMMITTEE REPORT

*By: Al Fonner, American Electric Power*

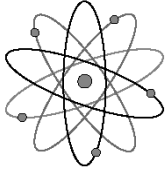
The Auditors' Conference, scheduled for Feb. 9 and 10, 2015, will soon be upon us. The Auditors' Conference provides a good opportunity for experienced and new NUPIC auditors to network and gain, or refresh on, skills and knowledge necessary to be successful when leading a NUPIC audit, or participating as a team member. The Training Committee is presently working on the agenda for this conference, identifying topics, and arranging for facilitators to develop and present each topic.

Topics that we are presently considering include the revised EPRI document on Commercial Grade Dedication, Countermeasures for Counterfeit and Fraudulent Items, NUPIC Database Updates, Missed Opportunities and Immediate Notifications, Managing a NUPIC Audit, and an NRC presentation on their investigation techniques. **Because of the need to order copies of the handout book from EPRI, please provide an approximate number of personnel from your utility that we may expect to attend to Al Fonner ([aefonner@aep.com](mailto:aefonner@aep.com)) no later than January 1, 2015.**

On another note, the Electric Power Research Institute (EPRI) Lead Auditor course is scheduled for July 21 – 23, 2015 at the ERPI offices in Charlotte, NC. The cost currently is \$550.00 / person (subject to change). This three day course is designed to communicate the skill and information necessary to lead a NUPIC audit. Students will become familiar with NUPIC processes, documents and forms, and will be provided with a detailed overview of the coordination and activities necessary to plan, execute and document a NUPIC audit. Scheduled presenters include Mark Coren and Al Pitts. We would like to have at least six (6) students for the class to help defray the cost. NUPIC reps should be getting an announcement from Mark Tannenbaum, EPRI, concerning registration and other pertinent information. Al Fonner, the NUPIC Training Chair, also intends to keep his ear to the ground to ensure that we have a successful training session. New NUPIC auditors, and even experienced auditors, are welcomed. It's an excellent opportunity for new folks to learn valuable skills and experienced auditors to refresh their knowledge, and even pass on lessons learned to new folks in the class.

## 2015 NUPIC VENDOR CONFERENCE

Mark your calendars now for the 24th Annual NUPIC Vendor Conference. This year's event will be held June 15 - 18, 2014, in Henderson, NV. Our hosts, Pacific Gas and Electric Company are working with the NUPIC Vendor Interface Committee to assure that this will be a great conference. The 2015 Conference will include several cross organizational presentations covering a wide variety of current industry topics. Meeting agenda, invites and online registration through the NUPIC website will be available starting in early March 2015. Stay tuned for more information.



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NUCLEAR PROCUREMENT ISSUES COMMITTEE

## WHO UPDATES THE NUPIC SUPPLIER INFORMATION?

By: *William Avery,*  
*First Energy Nuclear Operation Company*

I have noted instances when supplier information on the NUPIC database is not being maintained current. The database has two general fields called “Lead Resp.” and “Member Resp.” which allows for current supplier information to be viewed by all NUPIC Member utilities.

The NUPIC database is set up to only allow the current audit or survey lead utility to change certain portions of the NUPIC Database supplier information. These portions are identified under the “Lead Resp.” field and include Supplier Information, Audit/Survey, Extra Location, Program, Products, and Supplier Prior Name.

It should be noted that if a Utility has an open Audit or Survey for a supplier, then that utility can update the fields above. An interesting example of this is when a current audit has just been completed and an LSA and the previous triennial audit has open findings. All three lead utilities have the capability to update the ‘Lead Resp.’ information as long as their respective audit or survey remains open.

For the fields under “Member Resp.” any NUPIC Member utility can and should update the fields, as appropriate. These fields include Additional contact, Certifications, Critical Characteristics, Evaluation Summary, Industry Issues, PBSA, and QA Manual.

There have been examples of the fields under “Member Resp.” not being updated to show the current QA Manual revision or Industry Issues. These items are important in monitoring the performance and status of our suppliers. Per the NUPIC Charter, Document No. 3, Section V, ‘Responsibilities’, part A.12: “Each NUPIC member shall be responsible for: Maintaining accurate ASL status information in the NUPIC database.”

When you find information for a supplier under the “Lead Resp.” fields is not accurate, contact the current Lead utility and ask them to update the NUPIC database. When you find information for a supplier under the “Member Resp.” fields is not accurate, fix it.

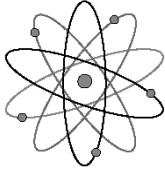
If you have questions about the NUPIC database, look at the Quick Reference Guide and User Manual in the database under Help/Documents. The NUPIC IT Committee can also be of help.

## NUPIC WELCOMES NEW STEERING COMMITTEE MEMBERS

The October NUPIC General Meeting resulted in a few changes in the makeup of the NUPIC Steering Committee members. Stan Mitchell (EXL) remains the NUPIC Chair and Brian Mervak (SCE) as Vice-Chair. Del Senner (ENW) remains the NUPIC Treasurer with Mark Coren continuing to fulfill the position of NUPIC Secretary. Tom Cannon (BPL) continues as a non-voting member representing the international utilities.

Two Region Representatives have also changed, which now breaks out as follows: Region 1 is John Lesh (PSEG); Region 2 is David Breneman (DOM); Region 3 is David Soward (XEL); and Region 4 is Earl Mayhorn (AUE).

Our thanks to those that served and to those that have stepped up to the plate to take on the challenges of steering our organization into the future. It is important to remember that our Steering Committee needs all of NUPIC membership’s support to fulfill our mission. Don’t hesitate to support them when you are called upon to help.



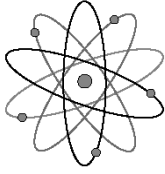
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## NUPIC Retiree Recognition

NUPIC is successful due to the many individuals that have committed Decades of work to the goals of our organization and in support of ensuring the quality of the materials and services we receive from our suppliers. The NUPIC October 2014 General Meeting recognized three of these individuals, Gene Wasson of Constellation Energy, Charles Brown of PPL Susquehanna, and Mike Turner of Wolf Creek, as they transition to their new life. They have provided years of expertise and guidance that has made the NUPIC organization stronger and made other NUPIC auditors better.





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NUCLEAR PROCUREMENT ISSUES COMMITTEE

# 2015 Joint Audit Schedule January - June



## Audit/Survey Information - Summary

Search Criteria: Category = Audits - Audits ONLY, Member Criteria = Leads Only, Audit/Survey Status = Scheduled, NOT Performed, Start Date = 01/01/2015, End Date = 06/30/2015, Report Type =

| Supp #          | Supplier Name, Location  | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members            | Peer Review | Last Update Date | Days Open |
|-----------------|--|-------|--------------|-----|-----------|----------------|-------------|-------------------------|-------------|------------------|-----------|
| 1027 (SR/PR)    | ABB INC. (SMALL POWER TRANSFORMERS) - BLAND, VA  | 23894 | DPC          | NJ  | 01/2015   |                |             | DOM, EXL, PPL, PSE      | TVA         |                  |           |
| 4202 (SR/PR/NP) | AMETEK POWER INSTRUMENTS - ROCHESTER, NY   | 23898 | NEE          | NJ  | 03/2015   |                |             | CFE, EXL, FEC, SNC      | DPC         |                  |           |
| 1413 (SR/PR)    | AMETEK VIS, INC. - GRAND JUNCTION, CO  | 23899 | OPP          | NJ  | 01/2015   |                |             | FEC, IBE, PPL, SNC      | EXL         |                  |           |
| 1084 (SR/PR/NP) | ANATEC, A DIVISION OF SCIENTECH - IRVINE, CA   | 23900 | AEP          | NJ  | 02/2015   |                |             | DOM, EXL, FEC           | ENW         |                  |           |
| 1159 (SR/PR/NP) | ASCO - FLORHAM PARK, NJ<br>4475 (SR/EX) ASCO - AIKEN, SC   | 23904 | AUE          | NJ  | 04/2015   |                |             | CFE, LUM, STP, TVA      | PGE         |                  |           |
| 1161 (SR/PR)    | AUTOMATIC VALVE CORP - NOVI, MI  | 23905 | EXL          | NJ  | 01/2015   |                |             | AEP, DTE, SNN, SOC      | DPC         |                  |           |
| 4800 (SR/PR)    | BALDOR ELECTRIC COMPANY - FLOWERY BRANCH, GA   | 23908 | FEC          | NJ  | 01/2015   |                |             | IBE, KNP, LUM, SNC, STP | PPL         |                  |           |
| 5371 (SR/PR/NP) | BORAX FRANCAIS S.A.S. - COUDEKERQUE-BRANCHE CEDE,<br>5616 (SR/EX) US BORAX, INC. - BORON, CA<br>6046 (SR/EX) CALIFORNIA CARTAGE WAREHOUSE - ALSIP, IL            | 23911 | OPP          | NJ  | 02/2015   |                |             | DOM, EXL, TVA, XEL      | FEC         |                  |           |
| 1242 (SR/PR)    | BURNDY LLC - MANCHESTER, NH<br>5517 (SR/EX) FCI ELECTRICAL - LONDONDERRY, NH<br>1240 (SR/EX) BURNDY LLC - LINCOLN, NH<br>1241 (SR/EX) BURNDY LLC - LITTLETON, NH | 23912 | EXL          | NJ  | 02/2015   |                |             | AUE, DOM, NEE, OPP      | ENT         |                  |           |
| 5821 (SR/PR/NP) | BURNS & MCDONNELL - KANSAS CITY, MO  | 24040 | OPP          | NJ  | 01/2015   |                |             | APS, AUE, WCN           | PGE         |                  |           |
| 1254 (SR/PR/NP) | C & D TECHNOLOGIES, INC. - BELL, PA<br>1251 (SR/EX) C & D TECHNOLOGIES, INC. - ATTICA, IN<br>5420 (SR/EX) C & D TECHNOLOGIES INC. - LEOLA, PA                    | 23913 | TVA          | NJ  | 02/2015   |                |             | CFE, DPC, EXL, NEE      | ENW         |                  |           |
| 2062 (SR/PR/NP) | CANBERRA INDUSTRIES, INC. - MERIDEN, CT  | 23914 | EXL          | NJ  | 04/2015   |                |             | OPG, PGE, PSE, SNC, XEL | PSE         |                  |           |
| 1374 (SR/PR)    | CRANE NUCLEAR, INC. - BOLINGBROOK, IL  | 23919 | SNC          | NJ  | 01/2015   |                |             | DPC, DTE, ENT, ETN      | NEE         |                  |           |

## Audit/Survey Information - Summary

Search Criteria: Category = Audits - Audits ONLY, Member Criteria = Leads Only, Audit/Survey Status = Scheduled, NOT Performed, Start Date = 01/01/2015, End Date = 06/30/2015, Report Type =

| Supp #          | Supplier Name, Location  | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members                 | Peer Review | Last Update Date | Days Open |
|-----------------|--|-------|--------------|-----|-----------|----------------|-------------|------------------------------|-------------|------------------|-----------|
| 1782 (SR/PR/NP) | CRANE NUCLEAR, INC. - KENNESAW, GA                               | 23920 | SOC          | NJ  | 05/2015   |                |             | AUE, ENW, ETN, NPP, VAT, WCN | AEP         |                  |           |
| 2571 (SR/PR)    | CURTISS-WRIGHT ELECTRO-MECHANICAL CORPORATION - CHESWICK, PA     | 23921 | EXL          | NJ  | 02/2015   |                |             | BPL, DPC, ENT, NEK, XEL      | PGE         |                  |           |
| 1432 (SR/PR/NP) | DRESSER, INC./ GE OIL & GAS - PINEVILLE, LA                      | 23924 | ENT          | NJ  | 04/2015   |                |             | EXL, NBP, NEK, NPP, PPL, SOC | OPP         |                  |           |
| 1438 (SR/PR)    | DUBOSE NATIONAL ENERGY SERVICES, INC. - CLINTON, NC              | 23927 | PSE          | NJ  | 02/2015   |                |             | APS, FEC, SOC, TVA           | SNC         |                  |           |
| 4914 (SR/PR/NP) | ELECTRIC MOTOR & CONTRACTING CO., INC. - CHESAPEAKE, VA          | 23928 | NEE          | NJ  | 04/2015   |                |             | DOM, DTE, PSE                | EXL         |                  |           |
| 1495 (SR/PR)    | ELECTROSWITCH CORPORATION - WEYMOUTH, MA                         | 23929 | STP          | NJ  | 02/2015   |                |             | DPC, ENT, NEE, NEK           | DTE         |                  |           |
| 1295 (SR/PR/NP) | ENERGYSOLUTIONS - COLUMBIA, SC                                   | 23931 | PPL          | NJ  | 04/2015   |                |             | FEC, NEE, PGE, SCE, SNC, TVA | EXL         |                  |           |
| 1293 (SR/EX)    | ENERGYSOLUTIONS - BARNWELL, SC                                   |       |              |     |           |                |             |                              |             |                  |           |
| 5380 (SR/EX)    | ENERGYSOLUTIONS (DC&S FACILITY) - BARNWELL, SC                   |       |              |     |           |                |             |                              |             |                  |           |
| 2299 (SR/EX)    | ENERGYSOLUTIONS (COMMERCIAL PROCESSING/SERVICES) - OAK RIDGE, TN |       |              |     |           |                |             |                              |             |                  |           |
| 5329 (SR/EX)    | ENERGYSOLUTIONS (COMMERCIAL SERVICES) - KINGSTON, TN             |       |              |     |           |                |             |                              |             |                  |           |
| 5621 (SR/EX)    | DURATEK COMMERCIAL SERVICES - MEMPHIS, TN                        |       |              |     |           |                |             |                              |             |                  |           |
| 4564 (SR/EX)    | ENERGYSOLUTIONS LLC - CLIVE DISPOSAL SITE - CLIVE, UT            |       |              |     |           |                |             |                              |             |                  |           |
| 1963 (SR/PR)    | ENGINE SYSTEMS, INC. - ROCKY MOUNT, NC                           | 23932 | AEP          | NJ  | 04/2015   |                |             | CFE, IBE, NPP, PGE, PSE      | PPL         |                  |           |
| 1529 (SR/PR)    | ERIN ENGINEERING & RESEARCH, INC. - WALNUT CREEK, CA             | 23934 | SNC          | NJ  | 04/2015   |                |             | APS, DPC, OPP, STP           | ENT         |                  |           |
| 3057 (SR/PR/NP) | FLAWTECH, INC. - CONCORD, NC                                     | 23938 | PPL          | NJ  | 05/2015   |                |             | EXL, FEC                     | NPP         |                  |           |
| 1575 (SR/PR/NP) | FLUID COMPONENTS INTERNATIONAL LLC - SAN MARCOS, CA              | 23940 | ENW          | NJ  | 06/2015   |                |             | DPC, EXL, LUM, TPC           | TVA         |                  |           |

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| Supp #          | Supplier Name, Location  | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members            | Peer Review | Last Update Date | Days Open |
|-----------------|--|-------|--------------|-----|-----------|----------------|-------------|-------------------------|-------------|------------------|-----------|
| 1125 (SR/PR)    | FRONEK ANCHOR/DARLING ENTERPRISES, INC. - LACONIA, NH                    | 23942 | ENT          | NJ  | 02/2015   |                |             | DOM, OPP, STP           | XEL         |                  |           |
| 4633 (SR/PR)    | FTI, INC. - SANDY SPRING, MD   | 23943 | DOM          | NJ  | 02/2015   |                |             | DPC, LUM, WCN           | NEE         |                  |           |
| 1598 (SR/PR)    | GE REUTER STOKES INC - TWINSBURG, OH                                     | 23944 | TVA          | NJ  | 01/2015   |                |             | DTE, EXL, IBE, XEL      | ENT         |                  |           |
| 4019 (SR/PR/NP) | GENERAL CABLE INDUSTRIES, INC. - WILLIMANTIC, CT                         | 23945 | ENW          | NJ  | 05/2015   |                |             | APS, SOC, STP, WCN      | NEE         |                  |           |
| 1709 (SR/PR/NP) | HENRY PRATT COMPANY - AURORA, IL   | 23947 | DOM          | NJ  | 05/2015   |                |             | CFE, EXL, OPG, PPL, TVA | NPP         |                  |           |
| 1732 (SR/PR)    | HOPPER ENGINEERING ASSOCIATES - REDONDO BEACH, CA                        | 23948 | EXL          | NJ  | 02/2015   |                |             | DPC, OPP, PGE           | DTE         |                  |           |
| 5075 (SR/PR/NP) | ILRT - NEW PORT RICHEY, FL   | 23949 | APS          | NJ  | 06/2015   |                |             | AUE, LUM, WCN           | DOM         |                  |           |
| 5667 (SR/PR/NP) | INTECH, INC. - CHATTANOOGA, TN   | 23951 | APS          | NJ  | 06/2015   |                |             | DOM, ENT, TVA           | PPL         |                  |           |
| 1586 (SR/PR/NP) | INVENSYS SYSTEMS, INC. - FOXBORO, MA                                     | 23953 | DOM          | NJ  | 04/2015   |                |             | AEP, DPC, PGE, TPC, VAT | EXL         |                  |           |
|                 | 5401 (SR/EX) INVENSYS SYSTEMS, INC. - SHANGHAI, CN                       |       |              |     |           |                |             |                         |             |                  |           |
|                 | 5727 (SR/EX) INVENSYS SYSTEMS, INC. - LAKE FOREST, CA                    |       |              |     |           |                |             |                         |             |                  |           |
| 1786 (SR/PR/NP) | ITT ENDINE - WESTMINSTER, SC   | 23955 | EXL          | NJ  | 05/2015   |                |             | EXL, FEC, IBE, NPP      | DPC         |                  |           |
| 1801 (SR/PR/NP) | JOHN CRANE INC. - MORTON GROVE, IL                                       | 23957 | DTE          | NJ  | 05/2015   |                |             | DTE, IBE, LUM, STP      | DPC         |                  |           |
| 1820 (SR/PR)    | JOSEPH OAT CORP. - CAMDEN, NJ  | 23958 | PSE          | NJ  | 04/2015   |                |             | AEP, DPC, EXL, NEE, SNC | TVA         |                  |           |
| 4947 (SR/PR)    | MASS SPEC. SERVICES - ORANGEBURG, NY                                     | 23961 | LUM          | NJ  | 01/2015   |                |             | AUE, PGE                | OPP         |                  |           |
| 4659 (SR/PR)    | MISTRAS SERVICES - WATERFORD, CT   | 23962 | SNC          | NJ  | 03/2015   |                |             | DPC, EXL, SOC           | STP         |                  |           |
|                 | 5860 (SR/EX) MISTRAS SERVICES - NORTHEAST REGIONAL OFFICE - TRAINER, PA  |       |              |     |           |                |             |                         |             |                  |           |
|                 | 1333 (SR/EX) MISTRAS SERVICES - BURR RIDGE, IL                           |       |              |     |           |                |             |                         |             |                  |           |
|                 | 5714 (SR/EX) MISTRAS SERVICES DIVISION - MERRILLVILLE - MERRILLVILLE, IN |       |              |     |           |                |             |                         |             |                  |           |

## Audit/Survey Information - Summary

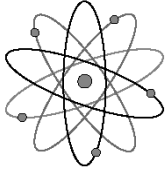
Search Criteria: Category = Audits - Audits ONLY, Member Criteria = Leads Only, Audit/Survey Status = Scheduled, NOT Performed, Start Date = 01/01/2015, End Date = 06/30/2015, Report Type =

| Supp #          | Supplier Name, Location  | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members                      | Peer Review | Last Update Date | Days Open |
|-----------------|--|-------|--------------|-----|-----------|----------------|-------------|-----------------------------------|-------------|------------------|-----------|
| 2041 (SR/PR)    | NOVA MACHINE PRODUCTS - MIDDLEBURG HEIGHTS, OH   | 23966 | TVA          | NJ  | 03/2015   |                |             | AEP, ENW, KNP, SNC                | DPC         |                  |           |
| 2611 (SR/PR/NP) | NTS HUNTSVILLE - HUNTSVILLE, AL  | 24005 | ENT          | NJ  | 01/2015   |                |             | APS, IBE, NEE, TVA, WCN           | DPC         |                  |           |
| 2201 (SR/PR/NP) | PCI-PROMATEC - HOUSTON, TX   | 23973 | SNC          | NJ  | 02/2015   |                |             | DPC, DTE, ENW                     | EXL         |                  |           |
| 1377 (SR/PR/NP) | PENTAIR VALVES & CONTROLS, DBA ANDERSON GREENWOOD - MANSFIELD, MA  | 23901 | PSE          | NJ  | 02/2015   |                |             | NBP, NEE, PGE, SOC                | EXL         |                  |           |
| 2185 (SR/PR)    | PRECISION SURVEILLANCE CORPORATION - EAST CHICAGO, IN  | 23976 | STP          | NJ  | 06/2015   |                |             | EXL, NEE, WCN                     | EXL         |                  |           |
| 2186 (SR/PR)    | PREFERRED ENGINEERING - DANBURY, CT  | 23977 | DPC          | NJ  | 06/2015   |                |             | CFE, ENT, ENW                     | EXL         |                  |           |
| 4429 (SR/PR)    | PREFERRED METAL TECHNOLOGIES, INC - BURR RIDGE, IL   | 23978 | EXL          | NJ  | 02/2015   |                |             | AEP, ENT, LUM, PGE, TVA           | SOC         |                  |           |
| 5937 (SR/PR)    | RED WOLF ASSOCIATES, LLC - CARY, NC  | 24052 | AEP          | NJ  | 03/2015   |                |             | DPC, XEL                          | EXL         |                  |           |
| 2265 (SR/PR)    | ROTORK CONTROLS, INC. - ROCHESTER, NY<br>5852 (SR/EX) ROTORK CONTROLS, LIMITED - BATH, EN  | 23981 | APS          | NJ  | 03/2015   |                |             | BPL, DPC, NBP, NEE, XEL           | SOC         |                  |           |
| 2340 (SR/PR/NP) | SOR INC. (STATIC O-RING) - LENEXA, KS  | 23984 | WCN          | NJ  | 03/2015   |                |             | AUE, ENW, NPP, XEL                | FEC         |                  |           |
| 5213 (SR/PR/NP) | SULZER PUMPS (US) INC. - CHATTANOOGA, TN   | 23986 | XEL          | NJ  | 03/2015   |                |             | APS, ENT, EXL, PPL, SNC, SOC      | DPC         |                  |           |
| 2388 (SR/PR/NP) | SULZER PUMPS (US) INC. - PORTLAND, OR  | 23987 | FEC          | NJ  | 01/2015   |                |             | BPL, EXL, KNP, NEE, TVA           | PPL         |                  |           |
| 2414 (SR/PR/NP) | TECHNOLOGY FOR ENERGY (TEC) - KNOXVILLE, TN  | 23988 | PGE          | NJ  | 04/2015   |                |             | DTE, FEC, OPP, PPL                | DPC         |                  |           |
| 2466 (SR/PR/NP) | TRANSCO PRODUCTS, INC. - CHICAGO, IL<br>5729 (SR/EX) TRANSCO PRODUCTS, INC. - STREATOR, IL<br>5795 (SR/EX) TRANSCO PRODUCTS INC. - WOOD DALE, IL | 23989 | ENT          | NJ  | 05/2015   |                |             | APS, AUE, DPC, EXL, FEC, PPL, SNC | APS         |                  |           |

## Audit/Survey Information - Summary

Search Criteria: Category = Audits - Audits ONLY, Member Criteria = Leads Only, Audit/Survey Status = Scheduled, NOT Performed, Start Date = 01/01/2015, End Date = 06/30/2015, Report Type =

| Supp #          | Supplier Name, Location                           | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members                           | Peer Review | Last Update Date | Days Open |
|-----------------|---|-------|--------------|-----|-----------|----------------|-------------|--|-------------|------------------|-----------|
| 2551 (SR/PR)    | ULTRA ELECTRONICS - ROUND ROCK, TX                | 23990 | DPC          | NJ  | 02/2015   |                |             | CFE, FEC, OPP, XEL                     | WCN         |                  |           |
| 4721 (SR/PR)    | UNDERWATER CONSTRUCTION CORPORATION - ESSEX, CT   | 23991 | EXL          | NJ  | 01/2015   |                |             | EXL, PSE, STP                          | ENT         |                  |           |
| 2521 (SR/PR)    | VALCOR ENGINEERING CORPORATION - SPRINGFIELD, NJ  | 23992 | NEE          | NJ  | 01/2015   |                |             | ETN, LUM, NBP, PSE, SOC                | DPC         |                  |           |
| 5820 (SR/PR/NP) | VALVTECHNOLOGIES, INC. - HOUSTON, TX              | 24074 | SNC          | NJ  | 03/2015   |                |             | BPL, DPC                               | TVA         |                  |           |
| 2529 (SR/PR/NP) | Velan, Inc. - MONTREAL, CD                        | 23993 | NEE          | NJ  | 02/2015   |                |             | BPL, DOM, DPC, ENW, EXL, FEC, NBP, PGE | AUE         |                  |           |
| 5149 (SR/EX)    | VELAN INC. - MONTRÉAL, CD                         |       |              |     |           |                |             |  |             |                  |           |
| 4272 (SR/EX)    | VELAN, INC. - GRANBY, CD                          |       |              |     |           |                |             |  |             |                  |           |
| 2528 (SR/EX)    | VELAN, INC. - MONTREAL, CD                        |       |              |     |           |                |             |  |             |                  |           |
| 2530 (SR/EX)    | VELAN VALVE CORPORATION - WILLISTON, VT           |       |              |     |           |                |             |  |             |                  |           |
| 4758 (SR/PR/NP) | VOLIAN ENTERPRISES, INC. - NEW KENSINGTON, PA     | 23994 | SNC          | NJ  | 02/2015   |                |             | EXL, XEL                               | WCN         |                  |           |
| 2204 (SR/PR)    | ZACHRY NUCLEAR ENGINEERING, INC. - STONINGTON, CT | 24006 | EXL          | NJ  | 06/2015   |                |             | APS, FEC, NEE                          | XEL         |                  |           |
| 5635 (SR/EX)    | ZACHRY NUCLEAR ENGINEERING, INC. - NAPERVILLE, IL |       |              |     |           |                |             |  |             |                  |           |
| 5873 (SR/EX)    | ZACHRY NUCLEAR ENGINEERING - CHARLOTTE, NC        |       |              |     |           |                |             |  |             |                  |           |



**N U P I C**

NUCLEAR PROCUREMENT ISSUES COMMITTEE

**2015**  
**Limited Scope Audit Schedule**  
**January - June**

## Audit/Survey Information - Summary

Search Criteria: Category = LA - LIMITED SCOPE AUDIT, Member Criteria = Leads Only, Audit/Survey Status = Scheduled, NOT Performed, Start Date = 01/01/2015, End Date = 06/30/2015, Report

| Supp #          | Supplier Name, Location                                       | Aud # | Lead Utility | Cat | Scheduled | Audit End Date | Closed Date | Team Members            | Peer Review | Last Update Date | Days Open |
|-----------------|---|-------|--------------|-----|-----------|----------------|-------------|-------------------------|-------------|------------------|-----------|
| 5911 (SR/PR/NP) | INTEGRATED POWER SERVICES - CLEVELAND, OH                     | 23882 | LUM          | LA  | 01/2015   |                |             | EXL, FEC, PPL, STP, STP |             |                  |           |
|                 | 5969 (SR/EX) INTEGRATED POWER SERVICES (IPS) - SHREVEPORT, LA |       |              |     |           |                |             |                         |             |                  |           |
| 1155 (SR/PR/NP) | WEIR VALVES & CONTROLS USA, INC. - IPSWICH, MA                | 24037 | SOC          | LA  | 04/2015   |                |             | NEE, PSE, SNC           |             |                  |           |