

NUPIC Perspective

Stan Mitchell – NUPIC Chair



NUPIC Overview

- NUPIC Organization established to accomplish the following objectives
 - Provide a cooperative program for evaluation of supplier performance
 - Provide a forum for discussion of procurement Quality Assurance issues
 - Provide an interface for procurement Quality Assurance activities

Member Benefits

- Thorough Audits at Lower Cost to the Member
- Use of Technical Specialists and Performance Based Supplier Audit (PBSA) Worksheets Provide Technical Focus to the Audit
- Use of Input from Members Focuses the Audit Team on Current Issues
- Process has Regulatory Confidence

Supplier Benefits

- One Audit Team
- Use of a Common Checklist
- Diverse Auditors Representing Different Utilities

Current Membership

- 24 Domestic Members
- 5 ISFSI/Decommissioned Members
- 12 International Members

NUPIC Steering Committee

- **NUPIC Officers**
- Chair: Stan Mitchell, Exelon
- Vice-Chair: Brian Mervak, SOC
- Secretary: Mark Coren, Duke Energy
- Treasurer: Del Senner, Energy Northwest

- **Regional Representatives**
- Region I: John Lesh, PSE
- Region II: David Breneman, Dominion
- Region III: David Soward, XCEL Energy
- Region IV: Earl Mayhorn, Ameren UE
- International: Tom Cannon, Bruce Power

NUPIC Challenges

- Ensuring that NUPIC Audits are Performance Based
 - Up front Planning
 - Improvements to the Checklist
 - Improved Auditor Training
 - Schedule the Audit when work is in Progress
- Timeliness of Audit Closeouts
 - Not just a Supplier issues
 - Increased Accountability to the Team Leader for Timely Audit Closures
- Aging Workforce
 - Improved Auditor Training

NUPIC Challenges

- Improve Feedback into the Audit Process
 - Making Improvements to Audit Feedback
 - Increase use of Management Observation
 - Increase use of Supplier Feedback

Use of Supplier Feedback

- Link to Supplier Feedback Form Provided for all NUPIC Audits
 - Communications Prior to the Audit
 - Audit was of Sufficient Depth
 - Effective Communications during the Audit
 - Technical Specialist Provided a Meaningful Assessment of the Company
 - Audit Report Consistent with the Results presented at the Exit Meeting
 - Audit Findings and Recommendations were helpful in Assessing the Program
- Transmitted to the Performance Monitoring Committee
- Reviewed at Each NUPIC Meeting
- Action Taken by Steering Committee where Required

NUPIC Initiatives

- Auditor Training
 - EPRI NUPIC Lead Auditor Training Course
 - Annual NUPIC Auditor Conference
 - Increase Emphasis on Performance Based Audit Techniques
 - Increase Emphasis on what is Required for Answering the Questions
- Emphasize Upfront Planning
 - Using the ‘Right’ Technical Specialist
 - Better Input on Supplier Performance Issues
 - Procedure Reviews performed Prior to Arriving at Vendor Facility
 - Making Procedures Available Prior to the Audit

NUPIC Initiatives

- Checklist Changes
 - Changes to Incorporate NEI 14-005A
 - Changes to Incorporate EPRI Guidance on Commercial Grade Dedication
 - Checklist Streamlining
- Audit Closeout Timeliness
 - Increased Accountability on the Team Leader

NUPIC Initiatives

- Improved Partnership with Nuclear Supply Chain Strategic Leadership (NSCSL)
 - NSCSL Representative Attending Select NUPIC Meetings
 - NUPIC Participating in NSCSL Meetings and Conference Calls
 - Improved Communications of Supplier Issues between the Two Organizations
 - Leverage NSCSL in Dealing with Supplier Issues

What Suppliers Can do

- Provide Feedback to the NUPIC Process using Supplier Feedback Form
- Make Procedures Available to the Audit Team Directly or through a Secure Website Prior to the Start of the Audit
- Provide Easy Access to Procedures During the Audit
- Develop a list of Condition Reports, NCRs Including Part 21 Notifications and Provide to the Team Leader Prior to the Audit
- Communicate Plant Outages or other Situations where work will not be Performed to the Team Leader

How to Receive a NUPIC Audit

- NUPIC Audits are Scheduled for Suppliers that meet either of the following
- Listed on the Approved Suppliers List of 5 NUPIC Members as Safety Related
- Listed on the Approved Suppliers List as a Commercial Grade Supplier of 4 NUPIC Members

- Key is to be listed on an Approved Suppliers List of at Least One NUPIC Member
- NUPIC Member Audits are Readily Shared Among NUPIC Members

Questions?
